

BRIDGEND COUNTY BOROUGH COUNCIL

REPORT TO AUDIT COMMITTEE

29 SEPTEMBER 2016

REPORT OF THE CHIEF INTERNAL AUDITOR

INFORMATION AND ACTION REQUESTS BY COMMITTEE

1. Purpose of Report

1.1 To summarise for Members the actions and information requests made by the Audit Committee at its last meeting on the 30th June 2016.

2. Connection to Corporate Improvement Objectives / Other Corporate Priorities

2.1 Internal Audit's work impacts on all of the Corporate Improvement Objectives and other Corporate Priorities.

3. Background

3.1 Internal Audit conducts reviews according to an annual audit plan and reports findings to Audit Committee.

4. Current situation / proposal

4.1 A summary of actions and information provided is contained in the following table:

Audit Committee Date	Action /Request	Officer Responsible	Comment / Action / Resolution	Current Status
21 st April 2016	Risk Management - A Member referred to paragraph 4.3 of the report, and a statement confirming that the 2016-17 Corporate Risk Assessment was fully aligned with the Council's MTFS and Corporate Plan. She felt that future such versions of the Risk Management Policy should reflect this more clearly, and that this document needed to be cross referenced with the MTFS.	Risk Management & Insurance Officer	A version of the Corporate Risk Assessment has been produced which cross references the links between the Corporate Plan and Corporate Risk Assessment. The suitability of this format is to be assessed.	Ongoing
21 st April 2016	A Member referred to the Inherent and Residual Risk scores and asked how these compared with similar or other risks in neighbouring local authorities.	Risk Management & Insurance Officer	Risk assessments from six other councils have been received and will be analysed to see what themes are reoccurring. This information will be used during the next quarterly review.	Ongoing

21 st 2016	April	In response to a question from the Committee, the Chief Internal Auditor stated that she would provide a report to the Committee on the high percentage of savings achieved in Legal and Regulatory Services.	Head of Finance and CIA	Clarification from Members was sought at the meeting held on the 30 th June meeting in order to understand what additional information was required. As a consequence of this an ongoing piece of work is underway.	Outstanding
30 th 2016	June	In addition and in response to a question regarding the Porthcawl Harbour Project, the Chief Internal Auditor stated that she would provide the Committee with information.	CIA	Response will be provided to Members once this has been validated.	Outstanding

5. Effect upon Policy Framework & Procedure Rules.

5.1 None

6. Equality Impact Assessment.

6.1 There are no equality implications.

7. Financial Implications.

7.1 There are no financial implications regarding this report.

8. Recommendation.

8.1 That the Committee notes this report.

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Chief Internal Auditor
29th September 2016

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Background Documents

None